

LIST OF DATA REQUESTS ON ONLINE QUARTERLY REPORT

FILINGS DURING QUARTER

- Grievances Received and Assigned Intake Number
- Grievances Dismissed Before Letter of Inquiry
- Grievances Dismissed After Both LOI Sent and Response from Respondent Received
- Grievance Taken to Committee
- Grievances Opened for Investigation
- Grievances Dismissed by Committee
- Grievances Dismissed After Investigation
- Complaints for Which Probable Cause Was Sought
- Complaints Denied for Probable Cause
- Complaints Filed with the Board of Professional Conduct After Probable Cause Found

PENDING GRIEVANCES

- Number of Grievances Opened During the Reporting Quarter
- Number of Grievances Open 60 -270 Days
- Number of Grievances Closed During the Reporting Quarter
- Number of Grievances Pending at End of Reporting Quarter
- Number of Grievances Open Under 60 Days
- Number of Grievances Open Over 270 Days
- Number of Cases Pending with the Board

OTHER PENDING

- Number of Cases Pending for Inventory

TYPE OF ALLEGED MISCONDUCT SET FORTH IN GRIEVANCE

- Diligence/Competency/Failure to Protect Client's Interest (RPC 1.1/1.3)
- Failure to Maintain Funds in Trust or Commingling of Funds (RPC 1.15)
- Excessive Fee (RPC 1.5)
- Failure to Communicate (RPC 1.2/1.4)
- Breach of Client Confidences (RPC 1.6/1.18)
- Advertising or Solicitation (RPC 7.1/7.2/7.3)
- Criminal Conviction (RPC 8.4(b))
- Failure to File Income Tax Returns (8.4(b))
- Misappropriation of Funds (RPC 8.4(b))
- Misrepresentation/False Statement/Concealment (RPC 8.4(c))
- Trial Misconduct and/or Contempt (RPC 3.4)
- Candor to the Tribunal (RPC 3.3)
- Improper Withdrawal/Refusal to Withdraw (RPC 1.16)
- Failure to Supervise (RPC 5.1)

- Failure to Turnover/Return Client File (RPC 1.16)
- Conduct Prejudicial to the Administration of Justice (RPC 8.4(c))
- Practice While Under Suspension (RPC 5.5)
- Communication with Represented Adverse Party (RPC 4.2)
- Ex Parte Communications (RPC 3.5)
- Assisting in Unauthorized Practice of Law (RPC 5.5)
- Conflict of Interest with Lawyer's Interest (RPC 1.10, 1.11, 1.12, and 1.13)
- Conflict of Interest with Former Client's Interest (RPC 1.19)
- Conflict of Interest – Current Client (RPC 1.7, 1.8)
- Judicial Misconduct
- Judicial Campaign Complaint
- Prosecutorial Misconduct (RPC 3.8)
- Impairment (Substance Abuse)
- Impairment (Mental Illness)
- Impairment (Incompetency)
- Other
- Number of Grievances Transferred or Referred